

INFORMATIONAL LETTER NO. 2059-MC-FFS-D

DATE: October 23, 2019

TO: All Iowa Medicaid Providers

APPLIES TO: Managed Care (MC), Fee-for-Service (FFS) and Dental (D)

FROM: Iowa Department of Human Services (DHS), Iowa Medicaid Enterprise (IME)

RE: Annual Submission Requirements Regarding Prevention and Detection of Medicaid Fraud and Abuse

EFFECTIVE: November 1, 2019

This Informational Letter applies to you if you are a provider, or part of a provider entity, that receives payments of \$5 million or more from Iowa Medicaid in any federal fiscal year (October 1 to September 30).

Section 6032 of the Deficit Reduction Act of 2005 (Pub L. 109-171) mandates that any provider or provider entity that receives payments, in any federal fiscal year, of \$5 million or more from any state Medicaid program must have written policies for all employees, including management, and for all employees of any contractor or agent, that provide detailed information about the following:

- The Federal False Claims Act established under section 3729 through 3733 of Title 31, United State Code
- Administrative remedies for false claims and statements established under Chapter 38 of Title 31, United States Code.
- State laws pertaining to Civil or Criminal penalties for false claims and statements
- Whistleblower protections under such laws, with respect to the role of such laws in preventing and detecting fraud, waste and abuse in Federal health care programs

Compliance with these requirements is mandatory for providers or entities receiving \$5 million or more from the Iowa Medicaid program in any federal fiscal year. The \$5 million amount is based on claims paid by the IME and its contracted Managed Care Organizations (MCOs), net of any adjustments to those claims. The \$5 million threshold is calculated based on payments made to a Tax Identification Number (TIN)/Employer Identification (EID).

Previously, providers were required to submit copies of these policies annually. Effective immediately, providers are not required to send copies of their policies, but must complete and return the [Attestation of Compliance with Section 6032 of The Federal Deficit Reduction Act](#)¹ form annually. **The form can be found on the DHS [Forms](#)² web page.**

For the federal fiscal year ending September 30, 2019, the attestation form must be received by the IME by December 31, 2019. Please include the entity National Provider Identifiers (NPIs) and Tax Identification Number/Employer Identification Number (TIN/EIN) when submitting the form.

The form may be faxed to the IME Program Integrity (PI) Unit at 515-725-1354 or mailed to:
Iowa Medicaid Enterprise
Program Integrity Unit
PO Box 36390
Des Moines, IA 50315

State and federal laws require that any provider or provider entity that fails to comply with this requirement will be subject to sanction, including probation, suspension, or termination of participation in the Iowa Medicaid program.

If you have any questions, please contact the IME Provider Services Unit at 1-800-338-7909, or email at IMEProviderServices@dhs.state.ia.us.

¹ <https://dhs.iowa.gov/sites/default/files/470-5506.pdf>

² <http://dhs.iowa.gov/ime/providers/forms>